



17-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham Ronald EASTHAM *et al.*

Appln. No. 10/536,801

Filed: December 9, 2005

For: CARBONYLATION OF VINYL ESTER
(As Amended)

Confirmation No. 4528

Art Unit: 1754

Examiner: Not Yet Assigned

Atty. Docket No. 31229-218465

Customer No.

26694

PATENT TRADEMARK OFFICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application to reflect the following change. Please change the title of the invention from "CARBONYLATION OF VINYL ACETATE" to read -- CARBONYLATION OF VINYL ESTER --. A Second Preliminary Amendment making this amendment to the title was filed on December 9, 2005. A Supplemental Application Data Sheet and a copy of the filing receipt with the requested correction marked in red ink are enclosed.

Respectfully submitted,

Michael A. Sartori, Ph.D.

Registration No. 41,289

VENABLE LLP

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000

Telefax : (202) 344-8300

MAS/kac/daw



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/536,801	12/09/2005	1754	1430	31229-218465		28	3

CONFIRMATION NO. 4528

26694
 VENABLE LLP
 P.O. BOX 34385
 WASHINGTON, DC 20045-9998

FILING RECEIPT



OC000000018213405

Date Mailed: 03/07/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Graham R Eastham, Co Durham, GBN, UNITED KINGDOM;
 Adam J Rucklidge, Dundee, GBN, UNITED KINGDOM;
 David Cole-Hamilton, Fife, GBN, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number 26694.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/04679 10/31/2003

Foreign Applications

UNITED KINGDOM 0228018.8 11/30/2002

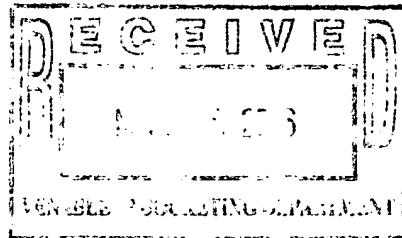
If Required, Foreign Filing License Granted: 03/06/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/536,801

Projected Publication Date: 06/15/2006

Non-Publication Request: No

Early Publication Request: No



Title

Carbonylation of vinyl ~~acetate~~
ester

Preliminary Class

502

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/536,801-Conf.#4528
		Filing Date	December 9, 2005
		First Named Inventor	Graham Ronald EASTHAM
		Art Unit	1754
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	14	Attorney Docket Number	31229-218465

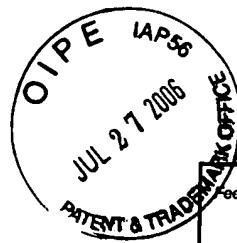
ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Request For Corrected Filing Receipt <input checked="" type="checkbox"/> Request For Corrected Notice Of Recordation <input checked="" type="checkbox"/> Supplemental Application Data Sheet	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Marked-up Copy of Filing Receipt <input type="checkbox"/> Marked-up Copy of Notice of Recordation		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	VENABLE LLP		
Signature			
Printed name	Michael A. Sartori, Ph.D.		
Date	July 27, 2006	Reg. No.	41,289

#770317



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$) 0.00
--------------------------------	-------------------------

Complete if Known	
Application Number	10/536,801-Conf.#4528
Filing Date	December 9, 2005
First Named Inventor	Graham Ronald EASTHAM
Examiner Name	Not Yet Assigned
Art Unit	1754
Attorney Docket No.	31229-218465

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>	
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

2. EXCESS CLAIM FEESFee Description

Each claim over 20 (including Reissues)

<u>Small Entity</u>
Fee (\$)
50
25

Each independent claim over 3 (including Reissues)

<u>Small Entity</u>
200
100
360
180

Multiple dependent claims

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
- 20 =	x	=		<u>Fee (\$)</u> <u>Fee Paid (\$)</u>

HP = highest numer of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 3 =	x	=			

HP = highest numer of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

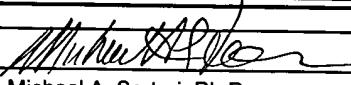
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/50	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	41,289	Telephone	(202) 344-4000
Name (Print/Type)	Michael A. Sartori, Ph.D.			Date	July 27, 2006

#770298



Supplemental Application Data Sheet

Application Information

Application number:: Not Yet Assigned 10/536,801
Filing Date:: December 9, 2005
Application Type:: Regular
Subject Matter:: Utility
Suggested Group Art Unit:: N/A 1754
CD-ROM or CD-R?:: None
Sequence submission?:: None
Computer Readable Form (CRF)?:: No
Title:: CARBONYLATION OF VINYL ESTER
Attorney Docket Number:: 31229-218465
Request for Early Publication?:: No
Request for Non-Publication?:: No
Small Entity?:: No
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity
Given Name:: Graham
Middle Name:: Ronald
Family Name:: Eastham
City of Residence:: Co Durham
Country of Residence:: United Kingdom
Street of mailing address:: 7 Heslop Drive, Darlington
City of mailing address:: Co Durham
Country of mailing address:: United Kingdom
Postal or Zip Code of mailing address:: DL1 5TQ

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity
Given Name:: Adam
Middle Name:: John
Family Name:: Rucklidge
City of Residence:: Dundee
Country of Residence:: United Kingdom
Street of mailing address:: 37 St. Mary's Street
City of mailing address:: Dundee
Country of mailing address:: United Kingdom
Postal or Zip Code of mailing address:: DD1 5RA

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity
Given Name:: David
Family Name:: Cole-Hamilton
City of Residence:: Fife
Country of Residence:: United Kingdom
Street of mailing address:: School of Chemistry
Postal or Zip Code of mailing address:: Purdie Building, The University, St. Andrews
City of mailing address:: Fife
Country of mailing address:: United Kingdom
Postal or Zip Code of mailing address:: KY16 9ST

Correspondence Information

Correspondence Customer Number:: 26694
Phone Number:: 202-344-4000
Fax Number:: 202-344-8300
E-Mail Address:: masartori@venable.com

Representative Information

Representative Customer Number:: 26694

Domestic Priority Information**Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::
United Kingdom	0228018.8	11/30/02	Yes

Assignee Information

Assignee name:: Lucite International UK Limited
Queens Gate
15-17 Queens Terrace, Southampton
Hampshire, SO14 3BP
United Kingdom

#768476



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham Ronald EASTHAM *et al.*

Appln. No. 10/536,801

Filed: December 9, 2005

For: CARBONYLATION OF VINYL ESTER
(As Amended)

Confirmation No. 4528

Art Unit: 1754

Examiner: Not Yet Assigned

Atty. Docket No. 31229-218465

Customer No.

26694

PATENT TRADEMARK OFFICE

REQUEST FOR CORRECTED NOTICE OF RECORDATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is to inform the United States Patent and Trademark Office that the Notice of Recordation of Assignment Document mailed June 3, 2006, contains an error. Please change the title of the invention from "CARBONYLATION OF VINYL ACETATE" to read
-- CARBONYLATION OF VINYL ESTER --. A copy of the Notice of Recordation of Assignment Document is attached indicating the corrections marked in red ink.

It is respectfully requested that the Patent Office make the correction and forward a corrected Notice of Recordation of Assignment Document to the undersigned attorneys.

It is believed that no fee is due for the submission of this Request. However, if such fees are deemed necessary, please charge said fees to our Deposit Account No. 22-0261. A fee transmittal is also attached.

Respectfully submitted,

Date: July 27, 2006



Michael A. Sartori, Ph.D.

Registration No. 41,289

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Telefax : (202) 344-8300

MAS/kac/daw

#768455



31229-218465 MAS

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 03, 2006

PTAS



103207052A

VENABLE LLP
P.O. BOX 34385
WASHINGTON, DC 20045-9998

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/05/2005

REEL/FRAME: 017715/0873
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 31229-218465

ASSIGNEE:
EASTHAM, GRAHAM R.

DOC DATE: 07/14/2005

ASSIGNEE:
RUCKLIDGE, ADAM J.

DOC DATE: 07/27/2005

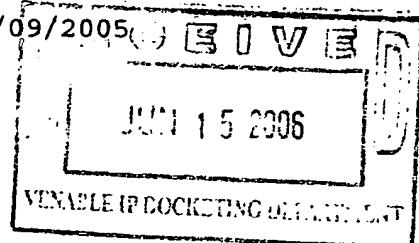
ASSIGNEE:
COLE-HAMILTON, DAVID

DOC DATE: 07/28/2005

ASSIGNEE:
LUCITE INTERNATIONAL UK LIMITED
QUEENS GATE, 15-17 QUEENS TERRACE
HAMPSHIRE, UNITED KINGDOM SO14 3BP

SERIAL NUMBER: 10536801
PATENT NUMBER:
TITLE: CARBOYLATION OF VINYL ACETATE
ESTER

FILING DATE: 12/09/2005
ISSUE DATE:



KIMBERLY WHITE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

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JUL 21 2006
PATENT & TRADEMARK OFFICE

03-29-2006

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PTO

09 DEC 2005

R: 31229-213441

10/536,801

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying parties:

Graham R. EASTHAM
Adam J. RUCKLIDGE
David COLE-HAMILTON

Additional name of conveying party(ies) attached? Yes No

3. Nature of conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other _____	

Execution Date: July 14, July 27, and July 28, 2005

2. Name and address of receiving party:

Name: Lucite International UK Limited

Street Address: Queens Gate, 15-17 Queens Terrace

City/Country: Hampshire, UNITED KINGDOM

Zip: SO14 3BP

Additional Names and Addresses Attached Yes No

4. Application number(s) or patent number(s): 10/536,801

If this document is being filed together with a new application, the execution date of the application by the first named inventor is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning this document should be mailed:

26694

PATENT TRADEMARK OFFICE

Name: VENABLE, LLP

Address: P.O. Box 34385

City: Washington State: D.C. Zip: 20043-9998

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

22-0261

(Attach duplicate copy of this page if paying by deposit account)

12/3/2005 BFREY 00000160 220261 10536801

02 C:8021 40.00 DA

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kavita B. Lepping (Reg. #54,262)

Name of Person Signing

Kavita B. Lepping

December 9, 2005

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

VENABLE
ATTORNEYS AT LAW